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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Hans-Jürgen Klischat
Appln. No.: 10/539,647
Filed: August 8, 2006
For: Industrial Ceramic Shaped Body
and Process for Producing Same
Attorney Docket No: 12850-004

Examiner: Karl E. Group
Art Unit: 1793
Confirmation No.: 6626

Box Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CHANGE IN STATUS

Sir:

It has recently come to the attention of the undersigned that a change occurred in the status of Applicant. Specifically, Applicant is not entitled to claim Small Entity Status. Accordingly, the Issue Fee paid on November 6, 2009 contained a deficiency in the funds submitted, as follows:

- Type of fee paid: Issue Fee
- Fee actually paid: \$ 755.00
- Current fee for non-small entity: \$1,510.00
- Date fee was paid: November 6, 2009
- Deficiency now owed: \$ 755.00

Applicant wishes to rectify the deficiency in funds submitted with its prior submission. Accordingly, the Patent Office is authorized to charge the above deficiency of \$ 755.00 to Deposit Account No. 23-1925. The Patent Office is further authorized to

charge any fee deficiency or refund any excess to Deposit Account No. 23-1925. A duplicate copy of this paper is attached for this purpose.

In the event further documentation is required, Applicant respectfully requests that the undersigned be contacted and given the opportunity to correct any informalities.

Respectfully submitted,

November 6, 2009
Date

Eric J. Baude
Eric J. Baude (Reg. No. 47,413)
ATTORNEYS FOR APPLICANTS

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EJB/dms

Attachment: Copy Issue Fee Transmittal

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 CFR §1.8

I hereby certify that this correspondence, totaling 3 pages including recited attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-8300 (Central number) on the below date:

Date: November 6, 2009 Name: Eric J. Baude Signature: *Eric J. Baude*

PART B - FEE(S) TRANSMITTAL

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Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or **Fax** (571)-273-2885

NOV 06 2009

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

80711 7590 08/07/2009

Brinks Hofer Gilson & Lyons/Ann Arbor
524 South Main Street
Suite 200
Ann Arbor, MI 48104

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Eric J. Baude	(Depositor's name)
/Eric J. Baude/	(Signature)
November 6, 2009	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/539,647	08/08/2006	Hans-Jurgen Klischat	12850-004	6626

TITLE OF INVENTION: INDUSTRIAL CERAMIC SHAPED BODY AND PROCESS FOR PRODUCING SAME

APPL. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	Yes	\$755.00	\$300	\$0	\$1,055.00	11/09/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
GROUP, KARLE	1793	501-119000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev. 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Refratechnik Holding GMBH

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Ismaring, GERMANY

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 23-1925 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature /Eric J. Baude/

Date November 6, 2009

Typed or printed name Eric J. Baude

Registration No. 47,413

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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